No. 17004

United States Court of Appeals

for the Rinth Circuit

AFONS SIMON KEIL,

Appellant,

vs.

INITED STATES OF AMERICA,

Appellee.

Transcript of Record

Appeal from the United States District Court for the Northern District of California, Southern Division.

NOV 4 1960

RANK H. SCHMID CUT

Phillips & Van Orden Ca., 4th & Berry, San Francisca, Calif.-10-21-60

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Clerk's Note: When deemed likely to be of an important nature, erros or doubtful matters appearing in the original certified record are rinted literally in italic; and, likewise, cancelied matter appearing n the original certified record is printed and cancelled herein acc dingly. When possible, an omission from the text is indicated by priving in italic the two words between which the omission seems to ccur.]

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APPEARANCES

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For Appellant.

L.WRENCE E. DAYTON, ESQ., United States Attorney; CIARLES ELMER COLLETT, ESQ., Assistant United States Attorney, Post Office Building, San Francisco,

For Appellee.

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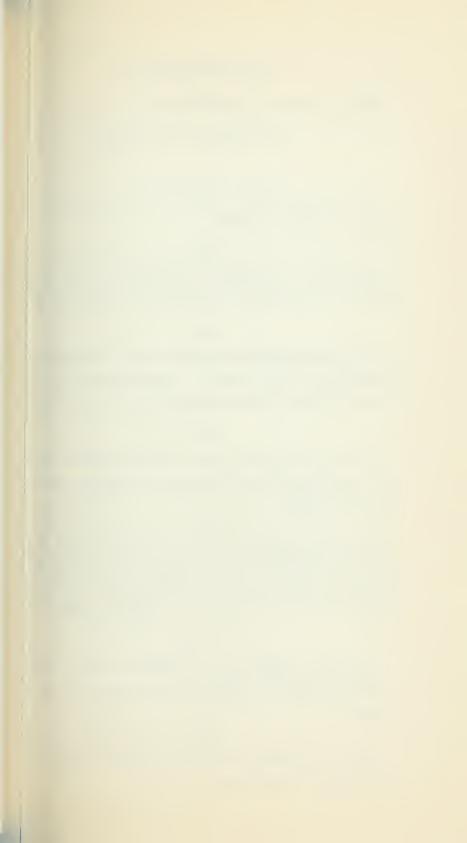
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Alfons Simon Keil vs.

[Title of District Court and Cause.]

FINDINGS OF FACT

T.

That the petitioner was born in Saal Donau, Germany, on July 9, 1928;

II.

That he was lawfully admitted to the United States for permanent residence on August 4, 1953;

III.

hat 1 That the petitioner became subject to registration for selective service under the provisions of the Uningra versal Military Training and Service Act of 1951; petit Ph C

IV.

That the petitioner registered for selective service Reco at Local Board No. 52, Oakland, California, on February 4, 1954;

V.

That the petitioner submitted an executed Form C-294 (Application by Alien for Exemption from Military Service in the Armed Forces of the United States) to the local board on March 1, 1954;

VI.

That the petitioner was classified IV-C, treaty alien, on March 3, 1954, as a consequence of his request;

VII.

That the petitioner's claim of complete ignorance is without foundation;

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VIII.

'hat the petitioner was classified V-A, over age, or July 21, 1954.

Conclusions of Law

Τ.

'hat the Petitioner applied for exemption from al was relieved from training and service in the aned forces of the United States on the ground the the was an alien;

II.

Chat under the provisions of Section 315 of the Imigration and Nationality Act (8 U.S.C.A. 1426) th petitioner is permanently ineligible to become a cizen of the United States.

5. Recommendation

I respectfully recommend that this petition for neuralization be denied on the ground that the pritioner is ineligible for citizenship by virtue of the povisions of Section 315 of the Immigration and Ntionality Act, having applied for and been relived from military service because of alienage.

Respectfully submitted,

/s/ JAMES F. HEWITT, Designated Naturalization Examiner.

Date: Feb. 19, 1960.

Alfons Simon Keil vs.

ORDER OF COURT DENYING PETITIONS FOR NATURALIZATION

Order No. 3377.

United States of America, Northern District of Calif.—ss:

Upon consideration of the petitions for naturalization recommended to be denied, listed on List No. 3377, sheet 1, dated February 24, 1960, presented in open Court this 24th day of February, A.D. 1960, It Is Hereby Ordered that each of the said petitions, except those petitions listed below, be, and hereby is, denied.

It Is Further Ordered that the following petitions be denied for the reasons stated:

Petition No. 135723

Name of Petitioner: Sheung Jee Mar. Reason for Denial: Lack of Prosecution.

Petition No. 139465

Name of Petitioner: Andres Paloma Cainap. Reason for Denial: Lack of Prosecution.

It Is Further Ordered that petitions listed below be continued for the reasons stated.

Petition No. 140662

Name of Petitioner: Martha June Williams. Cause of Continuance: Off Calendar. Prition No. 136002

Name of Petitioner: Gunter Herbert Mosler.

Cause of Continuance: Submitted for Decision.

Ptition No. 137140

Name of Petitioner: Alfors Simon Keil.

Cause of Continuance: Submitted for Decision.

Ptition No. 140430

Name of Petitioner: Bok Soon Carter. Cause of Continuance: Off Calendar.

By the Court, this 24th day of February, 1960.

/s/ ALBERT C. WOLLENBERG, Judge.

[Endorsed]: Filed Feb. 24, 1960.

[itle of District Court and Cause.]

CDER CONFIRMING FINDINGS OF FACT, CONCLUSIONS OF LAW, AND RECOM-MENDATION OF DESIGNATED NATU-RALIZATION EXAMINER

The question here presented is whether the petitoner having applied for an exemption from militry service because of alienage executed the aplication for exemption under circumstances which would make the petitioner ineligible for Inited States citizenship under Section 315 of the Immigration and Nationality Act of 1952. It is undisputed that the petitioner, an alien executed an application for exemption from military service in the armed forces of the United States be cause of alienage and that he was thereby relieved of military service and training. Section 315 of the Immigration and Nationality Act of 1952 provides that such an alien "shall be permanently ineligible to become a citizen of the United States."

However, an alien, considering all the circum stances of the case, must be shown to have know. ingly and intentionally waived his rights to citizen ship. The petitioner, under all the facts, must have had an opportunity to make an intelligent election between the diametrically opposed courses required as a matter of strict law. Moser v. United States 341 U.S. 41. The petitioner urges that his petition for naturalization should be granted because he did not understand that the form which he filled out and mailed to the draft board was an exemption from military service for alienage; nor did he under stand at the time he executed such application that relief from military service on account of such exemption would permanently bar him from becoming a citizen of the United States.

The petitioner was lawfully admitted to the Unite States for permanent residence on August 4, 1955 at which time he was 25 years of age. He registered for selective service at Local Board No. 52 on Feb ruary 4, 1954, and his questionnaire containin statements of the registrant was received by th Local Board on February 16, 1954. On Februar

United States of America

171954, the Local Board issued to him an Applicatid by Alien for Exemption from Military Service in he Armed Forces of the United States, and this aplication was returned to the Board on March 1, 194. As a consequence of this application the petitioer was classified by the Local Board as a treaty alm exempt from military service on March 3, 1954.

In June 30, 1959, he was called in and gave testimay to a naturalization examiner, stating that he did not remember ever signing the application form for exemption from military service; he testified that he did not read English, nor speak, nor understud it very well at this time; that in the preparatin of his selective service questionnaire he was asisted by his brother, Willibald, who wrote all of thandwriting on the questionnaire, and he identified thandwriting on the questionnaire as that of his bother Willibald. He did state that he signed it, and that he remembered signing this questionnaire. He testified he had no recollection of the applicatin for exemption; he did identify the form, howeer, as bearing his signature.

Petitioner's wife was called at the same hearing, ad stated that she could not understand the English laguage well at the time of the execution of the frm for exemption; that she has no recollection, or rhembrance, of reading or seeing anything like te form; that it contained words she did not know te meaning of; and she identified the written portons of the application for exemption form as being i her handwriting. At the hearing before this court witnesses were called, and testified that they knew petitioner and his wife over the period of time from August, 1953; and subsequently through 1954; that petitioner spoke no English; that it was from one to two years before he understood English; that petitioner's wife did not speak well, but that she knew more and could speak English much better than petitioner; that she did not comprehend the English language fully through the year 1954; that petitioner had to be helped to understand things, and that his wife did not understand too well. This testimony came from neighbors, the landlady, and people associated with the wife of petitioner in her work as a candy dipper.

Petitioner's wife testified at the hearing before the court that when she and her husband were confronted with the application for exemption after he had applied for citizenship and were shown the application by the naturalization examiner they had no recollection of ever having seen or executed such a document previously.

The issue for this court to determine is whether or not petitioner knowingly and intelligently exe cuted the exemption application, and at that time petitioner had an opportunity to freely and intelli gently choose between applying for exemption and waiving his right to citizenship or not applying for exemption and remaining eligible for citizenship and military training and service. The evidence sub mitted by the petitioner does not finally answer thi

United States of America

gestion. The petitioner at the time the application ic exemption was filled out had been in this counr but six months. The testimony of the petitioner, is wife, neighbors, and a landlady show that his aility to speak and understand English was scerely limited. It need not, however, be concluded the because the petitioner did not understand hglish that he necessarily did not understand the comption application at the time it was filled out e n though such form was in English. Direct eviduce as to the understanding of the petitioner at te time the exemption application form was comipted is slight. Both petitioner and petitioner's vfe testified that they did not remember having fed out the form or even having seen it before the having upon the petitioner's citizenship application c June 30, 1959, even though the form was admittlly in the handwriting of the petitioner's wife ad bore the signature of the petitioner.

The record indicates that the petitioner had the comption form in his possession between six to me days before it was returned to the draft board. here is no evidence that the petitioner consulted ls brother Willibald, who had two days before prepred and executed the longer, more detailed Selectve Service Questionnaire; there is no evidence that the petitioner consulted the aunt who accompuied him to the draft board, or that the petitioner onsulted the draft board or the German Consul oncerning the form. The failure to consult with ayone other than his wife is of itself inconclusive on the question of the petitioner's understanding of the exemption application itself. However, the form itself correctly, accurately and completely filled out, constitutes at least some evidence that the person who filled out the form understood the language appearing on its face. Petitioner furnished correctly such information as his local draft board number, alien registration number, nationality, and the country under whose treaty exemption was claimed. This form, signed by him, designated by the Department as C-294, contains upon its face a copy of Section 315 of the Immigration and Nationality Act of 1952, which informed the reader that one applying for exemption on the ground that he is an alien and is relieved from military service on such ground "shall be permanently ineligible to become a citizen of the United States." Upon the evidence presented the court finds that the petitioner did knowingly and intelligently waive his right to citizenship.

Under all these circumstances the findings of fact and conclusions of law of the naturalization examiner are proper and correct, and adopted by this court.

Petition Denied.

Dated: March 30, 1960.

/s/ ALBERT C. WOLLENBERG, United States District Judge.

[Endorsed]: Filed March 30, 1960.

['itle of District Court and Cause.]

NOTICE OF APPEAL

Notice is hereby given this 16th day of May, 150, that Alfons Simon Keil hereby appeals to the United States Court of Appeals for the Ninth Circit from the order of this Court which was filed ad entered on the 30th day of March, 1960, in fivor of the defendant and against the said Alfons Smon Keil, petitioner.

JACKSON & HERTOGS, Attorneys for Petitioner.

By /s/ JOSEPH S. HERTOGS.

[Endorsed]: Filed May 23, 1960.

['itle of District Court and Cause.]

BOND FOR COSTS ON APPEAL

Whereas, the Petitioner has appealed to the laited States Court of Appeals, for the Ninth Circit from the judgment of this court entered March ε , 1960.

Now Therefore, in consideration of the premises ad of such appeal, the undersigned, United Pacific hsurance Company, a corporation duly organized ad existing under the laws of the State of Washigton, and duly authorized to transact a general arety business in the State of California, does indertake and promises on the part of the Petitioner to secure the payment of costs if the appeal is dismissed, or the judgment affirmed, or such costs as the Appellate Court may award if the judgment is modified, not exceeding the sum of Two Hundred and Fifty and No/100 (\$250.00) Dollars, to whick amount it acknowledges itself bound.

It is expressly agreed by the Surety that in case of a breach of any condition hereof, the above entitled Court, may proceed summarily in the above entitled action in which this bond is given, to ascertain the amount which the Surety is bound to pay on account of such breach and render judgment therefor against the Surety and award execution therefor, all as provided by and in accordance with the intent and meaning of Section 73C of the Fed eral Rules of Civil Procedure.

In Witness Whereof, the corporate seal and name of the said Surety Company, is hereto affixed and attested at San Francisco, California, by its duly authorized officer, this 2nd day of June, 1960

[Seal] UNITED PACIFIC INSURANCE COMPANY,

By /s/ THOMAS B. FINNERAN, Attorney-in-Fact.

State of California, City and County of San Francisco—ss.

On June 2, 1960, before me, Mary Black, a Notar Public in and for said City, County, and State, per sonally appeared Thomas B. Finneran, known t in to be the person who executed the within instruirint as Attorney-in-Fact on behalf of the United Pcific Insurance Company, and acknowledged to ir that said corporation executed the same.

[Seal] /s/ MARY BLACK, Notary Public in and for Said City, County, and State. My Commission Expires November 12, 1960.

[Endorsed]: Filed June 6, 1960.

In the United States District Court, Northern District of California, Southern Division

Petition No. 137140

Petition for Naturalization of: AFONS SIMON KEIL.

lefore: Hon. Albert C. Wollenberg, Judge.

For the Petitioner: Joseph S. Hertogs, Esq. For the Respondent: Daniel H. Lyons, Esq.

PROCEEDINGS OF TRIAL February 24, 1960

Mr. Lyons: If your Honor please, this is on Fage 30. I must appologize for the briefs; they are i little long winded, but we thought the Court could appreciate some background on the case. I have nothing to add to the brief. I understand your Honor has read it.

The Court: I have read it.

Mr. Lyons: I would like to complete my case by introducing these exhibits, if I may.

Exhibit No. 1 is the application to file a petition for naturalization.

The Clerk: State your appearances for the record, please.

Mr. Hertogs: Joseph S. Hertogs for the Petitioner Keil.

The Court: All right. You're familiar with these?

Mr. Hertogs: Yes, your Honor.

Mr. Lyons: And Exhibit No. 2 will be the photostatic copy of the Selective Service file.

Mr. Hertogs: No objection, your Honor.

The Court: All right.

Mr. Lyons: Exhibit No. 3 is a statement taken from the petitioner by naturalization examiner Francis P. Bolan on February 13; I can't read my date .. 1959.

Mr. Hertogs: No objection.

The Clerk: These are Moser's exhibits?

Mr. Lyons: No, Government's exhibits. [2*]

The Court: You got the wrong file.

Mr. Lyons: Still got Moser on my mind. They are the same thing.

The Court: Let them be marked 1, 2 and 3, as previously shown by the record.

^{*}Page numbering appearing at top of page of original Reporter's Transcript of Record.

Mr. Lyons: This is the statement of the petioner on June 30, 1959, not the other date.

The Court: Exhibits 1, 2 and 3 admitted and fed in evidence.

(Application to file a petition for naturalization received in evidence as Respondent's Exhibit 1: Photostatic copy of Selective Service file received in evidence as Respondent's Exhibit 2; and Statement from petitioner dated June 30, 1959, received in evidence as Respondent's Exhibit 3.)

Mr. Hertogs: If the Court pleases, I think this cse resolves on one question only, and that is thether or not the facts in this case fall within the ccision of the Supreme Court of the United States Moser, which has been cited by counsel for the tovernment.

In the Moser case, the Supreme Court of the nited States said that there must have been a howingly and intelligent choice made in order to aive the rights of citizenship. I think that is the 'hole problem in this particular case. [3]

The facts in this case will show from the record self that we have here a petitioner who is a native ad citizen of Germany, and he is here today and illing to testify on the stand. We also have a numer of other witnesses available who can testify.

At the time that he left Germany, he did not now a single word of English. He arrived in the inited States in August of 1953. Somewhere along he line, he was told he had to file for Selective Service, and he did go and ask for an application in about January of 1954, which was within the normal period, within six months prescribed by the regulations.

During the time between his arrival and late August, 1953, the time of the first appearance at Selective Service Board, the only work that he was able to obtain, even though he is a skilled craftsman, was as a common laborer moving trash and other junk out around the construction project at Mills College.

He did not learn a single word of English, and he started to go to school in the latter part of January, 1954. We have witnesses here who knew him New Year's Day, 1954, which is the nearest that I can find at the time of filing the petition, who will testify he did not know a single word of English at that time. His wife was doing a little better; she knew a few words.

Then he says—there is the difference, and I think' we [4] will have to go by the official record—he felt that the questionnaire and the form 301 were sent to him at the same time by the Selective Service Board.

He did not appear at the Selective Service Board This is different from the typical case. In this case they were mailed to him, and he thought the two forms came together, but the record shows that one form was mailed to him the early part of February and returned on or about February 16, 1954, and the following day the Selective Service Board, withou any notation or request on his part forwarded to Im the Selective Service Form 301, which he signed ad we admit he returned, and it's dated February 5, 1954.

Now, the question is, was there a knowing and intilligent choice on his part to secure an exemption tom military service at that particular time. If the aswer is in the negative, I think he is entitled to almission to citizenship. If the answer is an affirmtive, I see no alternative but the petition must be enied. I think it is solely on that one particular destion; and this case can be differentiated from the number of cases cited by counsel in his brief. There are some of those cases which sustain our position as indicated there, but most of the other uses are absolutely different from the facts in this articular case.

Like in the Coronado case, that man had 18 pars' residence in the United States. The Machado use is in our [5] favor on the point. They said pere, he did not have an opportunity to make an itelligent choice.

In the Memishoglu case, which is another circuit use, he had come here for the purpose of studying. Ie had been five years in college; he only had one hore semester to graduate. He worked three years i the meantime in industry. I don't think those acts are comparable.

The petitioner Husney, he had entered the United tates in 1927, completed his schooling in the United tates; he filed a Form 43 after 16 years of education in this country.

All the cases, except those, I would say there was

only one case which even comes close to the particular facts in this case, but there are two very recent cases which are not cited by counsel: one is 177 Fed. Sup. 887, where the man was admitted, and is something similar to this case; and there is another new one, 171 Fed. Sup. 898, in which the man was admitted. In that case, it was a languagebarrier case.

I think in this particular case, if it can be clearly established, I think by the testimony, that this man had no knowledge, absolutely none whatsoever and no advice as to how to proceed or what to do at that particular time, he had no intent on his part to secure any waiver from the military service. As a matter of fact, I am told, and it appears from the record, that until the time he appeared in immigration in connection with the filing of the petition, he and his wife [6] filed simultaneously, she was admitted to citizenship, up to that particular point he did not know that he had ever filed such a document.

If the Court desires, I have witnesses that] would like to have testify. I don't know the Court's attitude.

The Court: I think you're certainly privileged to put on your witnesses, if you desire. It won't take too long?

Mr. Hertogs: No, I will not, your Honor. Mrs. Weise.

MELANIE WEISE

clled as a witness on behalf of the petitioner, being fist duly sworn, testified as follows:

The Clerk: State your full name and your adcess to the Court.

The Witness: Melanie Weise, 404 Bradrick Irive, San Leandro.

The Clerk: Will you spell your name, please.

The Witness: M-e-l-a-n-i-e, last name, W-e-i-s-e.

Direct Examination

Jy Mr. Hertogs:

Q. Mrs. Weise, do you know the petitioner lfons Keil? A. Yes, I do.

Q. You know his wife? A. Yes.

Q. Can you tell the Court approximately when pu first met [7] the petitioner?

A. First time I met them, August, 1953, when vey look for an apartment. And then I didn't see vem for all the months until January—I mean ew Year's Eve. We brought them over that time and since then, we met all the time.

Q. Now, at the time you first met the petitioner, id he speak or understand a single word of inglish? A. No, nothing.

Q. When do you feel that he first learned any nglish whatsoever?

A. Well, it took him a long time, at least one and a half, almost two years until he could get round a little bit.

Q. Now, at the time you met him on the second

(Testimony of Melanie Weise.)

occasion, New Year's Eve—I presume December 31, 1953? A. Yes.

- Q. What was that occasion?
- A. New Year's Eve dance.
- Q. Where did you see him?
- A. San Leandro.
- Q. How do you recall that occasion?

A. Well, it was the second time we saw each other, so we together—they didn't have any friends, and they didn't know anybody, so we were sitting together and they didn't have anybody to drive them home, so we drove them home; and since then, we were friends. [8]

Q. You understood the German language at that time?

A. Yes. I couldn't speak English very well either that time, so-----

Q. All the conversation you had with them was in the German language? A. Yes, yes.

Q. On that occasion, did he speak or understand any English; that is, December 31, 1953?

A. He could speak no English at all.

Q. Did you ever hear him at any time state that he was applying for exemption from military service? A. No, never.

Q. Do you know if he had any objection, or did you ever hear him make any comment he had objection to military service?

A. He said he might have to go to the service.

Q. He might have to go?

('estimony of Melanie Weise.)

A. Yes: because he reported to the draft board he everyone else do.

Q. Did he ever state that he had filed a form to gt out of military service?

A. To get out? No.

Mr. Hertogs: I have no further questions of the vtness, Mr. Lyons. [9]

Cross-Examination

ly Mr. Lyons:

Q. How was your English yourself at that time you met him, could you speak English when you let them? Λ . No, not much.

Q. Oh, you couldn't speak English to them. if pu wanted to, could you? A. No.

Q. Okay.

A. Because I was here only a year, a little over year.

Redirect Examination

y Mr. Hertogs:

Q. How much English did you know then?

A. Not much.

Q. A little bit?

A. A little bit, but not much.

Mr. Hertogs: I have no further questions, your Honor.

The Court: All right; I haven't any. You may tep down.

(Witness excused.)

Mr. Hertogs: Mrs. Croft.

EILEEN CROFT

called as a witness on behalf of the petitioner, being first duly sworn, testified as follows:

The Clerk: Please state your name and address to the Court. [10]

The Witness: Eileen Croft, 2541 Ninth Avenue.

Direct Examination

By Mr. Hertogs:

Q. What city is that? A. Oakland.

Q. Miss Croft, do you know the petitioner, Alfons Keil? A. Yes, sir, I do.

Q. When did you first meet him?

A. About March, 1954.

- Q. Where did you meet him at that time?
- A. They rented an apartment from us.
- Q. Do you remember the occasion?
- A. Yes, sir; I do.

Q. At that time, could the petitioner speak or understand any English?

A. No, sir; he could not.

Q. How long did he live in that apartment that they rented from you?

A. Oh, I'd say about three or four years.

Q. Can you recall approximately during that period when you would say that the petitioner was able to understand, oh, at least some of the usual phrases in the English language?

A. I can't say exactly. I would judge about a year, a year and a half, something like that. It was a long time, I know. [11]

('estimony of Mrs. Eileen Croft.)

Q. Now, of your own knowledge, he could not inderstand or speak any English at the time he inted your apartment in about March, 1954?

A. That's right.

Q. Have you ever heard the petitioner comment bout military service? A. No, sir; I haven't.

Q. Did he ever state that he was seeking exempton or that he did not desire to serve in the armed frees? A. No.

Mr. Hertogs: I have no further questions, your onor.

Cross-Examination

y Mr. Lyons:

Q. Mrs. Croft, how did he rent your apartment? lo you speak German? A. No, sir; I don't.

Q. And he doesn't speak any English—at that me? A. No, he didn't.

Q. How could he rent the apartment, then?

A. Through his wife.

Q. She understood English?

A. No, not completely.

Q. I am not saying completely, but she spoke inglish enough to rent the apartment?

A. She knew enough to say that they saw the ign, they [12] wanted to see the apartment. I mean -I can't exactly remember her words, but I mean hat they were foreigners and we had an apartment to rent and we knew they wanted to see it, but hey couldn't speak English.

Q. They understood—what was it, rented on a nonthly basis? A. Yes, sir.

(Testimony of Mrs. Eileen Croft.)

Q. On a lease or—

A. On a monthly basis.

Q. They understood enough, or at least the wife understood what the monthly rent was?

A. Yes.

Q. And that sort of thing?

A. That's right.

Q. Now, you say he didn't say anything about applying for exemption from military service. Did you ever ask him about that at all?

A. No, I didn't.

Q. There wasn't any occasion for you—

A. No.

Mr. Lyons: That is all.

Redirect Examination

By Mr. Hertogs:

Q. Can you explain a little more clearly the amount of English that the wife knew in March of 1954? [13]

A. Well, living across the street from us, Katie would come over to the house and visit with me. They didn't know anybody. I have five children and there was always a lot going on at my home, and being that they rented the apartment from us, I was the only person there that she knew; and she would come over to the house and sit and listen; and like, if I was doing something that she didn't understand, well, like see, pouring a glass of milk, she wanted to know how to say "milk," and she (estimony of Mrs. Eileen Croft.)

build make sounds like "moo" or a cow, or things like that, and then I would say milk. I would extain to her gradually; then she would grasp.

Mr. Hertogs: I have no further questions, your lonor.

The Court: Thank you, Mrs. Croft.

(Witness excused.)

MAX DROLLET

clled as a witness on behalf of the petitioner, bing first duly sworn, testified as follows:

The Clerk: Please state your full name, your address and your occupation to the Court.

The Witness: My name is Max Drollet. It is belled D-r-o-l-l-e-t, and I reside at 5536 Holway treet, in Oakland.

Direct Examination

y Mr. Hertogs:

Q. Mr. Drollet, do you know the petitioner, lfons Keil? [14] A. I do.

Q. Will you explain to the Court when you first net him?

A. I met the couple through my wife. My wife at ne time, still is, my wife is employed by the Edy's andy Company at Berkeley, and she came home ne evening and told me that this young German irl was working there in the Oakland factory and was curious to know all about it; and, of course, (Testimony of Max Drollet.)

she told me that the girl was doing certain types of specialty work like on the particular dipping machines, chocolate dipping machine. Later on, she would have something better, something lighter than handling these heavy——

Mr. Lyons: May it please the Court, he is testifying as to what his wife told him. If the wife is present, that would be a much better way.

The Witness: Well, anyway, then-----

Mr. Lyons: I object to this whole thing, your Honor.

The Court: Yes; just tell us what happened.

The Witness: Well, then—

The Court: Let counsel ask you-

The Witness: They came home-----

The Court: You met them in your home? The Witness: In my home.

The Court: Remember about when that was?

The Witness: Oh, it was, I imagine, five and a half years ago. [15]

Q. (By Mr. Hertogs): That would make it late in 1954?

A. '54, that's right, yes. '54—I will say after the half year of '54, close to Christmas of '54, oh, I guess in that fall.

Q. You met them in your home at that time?

A. That's right; the first time.

Q. Did the petitioner at that time speak or understand any English?

A. Not very well, no; not too, too well.

(Cestimony of Max Drollet.)

Q. Did he understand enough English to carry a conversation?

A. Very hardly, I'll say. I would ask the questons, and he would answer them and, of course, his vife would come to the rescue and describe the destion, and, of course, the answer also. She was a lttle more, I imagine, advanced than he was as far a the language barrier. They both spoke with quite a distinct accent, and he did much more so. He 'ould ask a lot of questions by describing certain hings, certain material things, as we discussed it or 'ilked about it, we visited.

Q. At that time, when you first met him, was it pur impression that he did not fully comprehend ie English language?

A. That's right; he did not.

Mr. Hertogs: I have no further questions. [16]

Cross-Examination

y Mr. Lyons:

Q. Mr. Drollet, do you fully comprehend the inglish language? A. I believe so.

Q. I don't. You say that he asked, the petitioner sked a lot of questions in the course of his conersation? A. That's right.

Q. About various things. Were they asked in inglish?

A. He would try to describe it in a way, maerial things, like in the home.

Q. Yes.

A. For instance, mechanical things.

(Testimony of Max Drollet.)

Q. You understood what he was talking about?

A. No, not at first. I would try to decipher it. I₄ have no knowledge of the German language whatsoever.

Q. But you understood enough to carry on a conversation later on?

A. No, his wife would come to the rescue most of the time and she would explain, try to tell me what Alfons would mean.

Q. She was more advanced than he was?

A. In a way, she was, yes.

Q. He was never able to carry on the conversation?

A. Not fluent enough—I wouldn't say a fluent conversation, no. [17]

Q. It must have been very frustrating, then?

A. Well, it was; very. One thing that amazed me was the fact that they did not read a newspaper which I put in front of him at one time, and I urged them to subscribe to the local paper where they lived.

Q. Did you have any discussion with the couple about the draft?

A. No; outside of one occasion, I don't remember exactly when. He told me that he was expecting to get something from the draft at any time, it was pending. That was the only thing that was ever, brought up to me.

Q. What was the occasion for bringing that up?

A. We were talking about branches of the service, I believe, my nephew having been in the Coast ('estimony of Max Drollet.)

(hard; and 1 asked him what desire he would have t be in the armed forces, the Air Force, the Navy, c in the Coast Guard, or the Army; and 1 was tying to cite the various branches of the service, ad that was it. That is all 1 knew about it.

Mr. Lyons: Thank you.

Mr. Hertogs: No further questions, your Honor.

(Witness excused.)

Mr. Hertogs: Mrs. Drollett.

ALBERTA JANE DROLLET

alled as a witness on behalf of the petitioner, being first duly sworn, testified as follows: [18]

The Clerk: Please state your full name and adcess to the Court.

The Witness: Alberta Jane Drollet, 5536 Holay Street, Oakland.

Direct Examination

y Mr. Hertogs:

Q. Mrs. Drollet, are you acquainted with the etitioner, Alfons Keil? A. Yes.

Q. Would you explain briefly when you first met im?

A. I met him in August, middle part of August, 954, through his wife, which was employed with he.

Q. At that time, to the best of your recollection, id he speak or understand any English? (Testimony of Mrs. Alberta Jane Drollet.)

A. No. He was afraid to talk. His wife would try to speak for him, or try to help out.

Q. There has been discussion, prior testimony concerning the wife. How good was her English in August, 1954?

A. Well, not too good. We tried to help her at work, which I think has helped her quite a bit.

Q. Could she carry on a conversation in English?

A. No, we would kind of patch it up all together to try to get her conversation. No, not too well.

Q. When do you feel that the petitioner first learned sufficient English to carry on a conversation? [19]

A. I would say about a year and a half to two years with us; we would all help her at work and correct her and she finally caught on.

Q. How about Mr. Keil?

A. Well, Mr. Keil is a little bit slower, I think, in his English grammar.

Mr. Hertogs: I have no further questions, your Honor.

Cross-Examination

By Mr. Lyons:

Q. You just knew the petitioner's wife?

A. Wife and husband; I met him.

Q. Did he bring any of his relatives, like his brother Willopaul?

A. No; he had a brother, he told me he had a brother in Los Angeles.

('estimony of Mrs. Alberta Jane Drollet.)

Q. You never met him?

A. I met him once, but very brief.

Q. How was his English?

A. To tell you the truth, I don't know. There us a death in the family; he dropped in. We didn't seak very long, quite late in the evening.

Q. You did speak; you didn't have any difficulty with him, as you recall?

A. I would say—I think he might have spoken a litle bit better than Mr. Keil, but not too well. [20]

,Q. Better than the wife?

A. No, I wouldn't say that. It was about two yars ago.

Q. I see.

Mr. Hertogs: That is all.

(Witness excused.)

Mr. Hertogs: Mrs. Keil, will you step forward?

KATHARINA KEIL

alled as witness on behalf of the petitioner, being rst duly sworn, testified as follows:

The Clerk: Please state your full name and your ddress to the Court.

The Witness: Katharina Keil, 6535 Knott Soulevard, El Cerrito.

Direct Examination

3y Mr. Hertogs:

- Q. You are the wife of the petitioner?
- A. Yes, I am.

(Testimony of Mrs. Katharina Keil.)

Q. You and your husband came to the United states in August, 1953, is that correct?

A. Yes.

Q. Before you came to the United States, had you ever studied English at all?

A. No, I didn't have a chance, because—no chance in our little town where you can take up English. You have to go to college to do that. [21]

Q. Tell the Court what you did following your arrival in the United States in August, 1953.

A. I first came on the train, didn't have anything to eat for three days, because we couldn't order to anything, just bought peanuts when we stopped over, and things like that.

Then we went to the aunt's for five or six weeks, about 76 years old, something like that, and then we looked for an apartment. That is where we met Mrs. Weise, and we had been married for six months, and no way of getting out, and so then we moved to Mrs. Croft, where there was a cheaper apartment and was around March; then in August, I started working at Edy's.

Mr. Lyons: May it please the Court, I don't see the relevancy of this particular testimony.

Mr. Hertogs: The question is coming.

Q. At the time that you moved—when did you move from the first apartment to the second apartment?

A. Well, it was the 19th of March we moved into that cheaper place.

Q. Now, when you were living in the first apart-

('estimony of Mrs. Katharina Keil.)

rent, before moving to the second apartment, had yu or your husband learned any English?

A. Very little. His brother was living with us, ad we trusted him; but he didn't know more than w did.

Q. You were trying to learn English prior to that period, is that correct? [22] A. Yes.

Q. Now, do you recall the occasion your husband received in the mail a questionnaire from the Selectre Service Board?

A. Yes, we went down with the aunt to report, I cause he was laid off at that time, and so they sid they just would mail the papers to him; and ater a while when the mail come and we thought tey all come together, but we wanted to do it right ad filled out everything and signed everything and railed them back.

Q. You mean altogether you think the questionnire and the Form 301, which the Service has sown, came together in the same mail?

A. That's what I thought, but they stated diftrently six years ago. I don't know when the mail ame.

Q. Did you help your husband fill in either of hose forms?

A. Yes, I did. I mean, we could read the name ad country and signature; we knew that, but we ouldn't read the rest of it.

Q. At the time you filled in that second form, hich is a short form which the Government has nown you—— A. Yes. (Testimony of Mrs. Katharina Keil.)

Q. Did you know that you were filling in a form asking for an exemption on behalf of your husband?

A. No, we didn't; we didn't know the form existed until last July at the hearing on [23] Sansome.

Q. Is that the first time that you knew that that form existed? A. Yes, sir; that is.

Q. That's July, 1959?

A. It was June 30 when we had that appointment for the hearing, because when we were up for the test, the examiner said "You refused to go the Army," and we were sure there was a mistake, just a matter of checking up, because we didn't do so. Then last July we had a hearing and they showed us the paper there. We never have seen that paper before, at least didn't remember it existed.

Q. You do recognize the handwriting of you and your husband? A. That was my handwriting.

Q. Your handwriting and that of your husband?

A. Yes, it was.

Q. But at the time you did not know that that form was asking for an exemption from military service? A. No, we did not know that.

Mr. Hertogs: I have no further questions, your Honor.

Mr. Lyons: May I have Exhibit No. 2, please?

United States of America

('estimony of Mrs. Katharina Keil.)

Cross-Examination

I. Mr. Lyons:

Q. Mrs. Keil, there were two questionnaires fied out, weren't there? A. Yes. [24]

Q. I mean two papers.

A. I don't know how many there were; I tought there was a whole bunch of them.

Mr. Lyons: May I approach the witness?

Q. Is this the questionnaire you filled out for Im? Is this your writing here?

A. No. This, I think—that could be my printing, iv brother-in-law prints, too. No, that isn't mine.

Q. This is his brother's? A. Yes.

'Q. Now, how about this one here?

A. This is my writing and my husband's signatre, but all we writ there was the name and the nmber. I remember the number, but we couldn't had the rest of it.

Q. It says, "I Alfons Keil-""

A. I knew there has to be a name there.

Q. "——a national of ——"

A. Germany; we knew that.

Q. You knew that? A. Yes.

Q. "I am a registrant of Local Board No.——" You knew that?

A. We had the little card where it said—

Q. You could read that there, put 51 in?

A. You just can read things by looking at it, bo. [25]

Q. It says "City"? A. Yes.

(Testimony of Mrs. Katharina Keil.)

- Q. Alameda? A. Yes.
- Q. County? A. That's right.
- Q. State? A. Yes.

Q. "My selective service number is" so and so, you put that in?

A. Yes, but don't you think that is much easier than reading that, now?

Q. "My alien registration number is" so and so; you put that in?

A. We knew about that. I learned the alien number.

Q. Alien registration number; that's pretty difficult, isn't it; registration number?

A. Yes, but we knew what it was because we always filed those cards in January.

Q. "I hereby apply for exemption from military service." You didn't understand that?

A. No.

Q. But you understood "My alien registration number is—____"

A. We always filed those cards.

Q. What is so difficult about "I"? [26]

The Court: Don't argue.

Mr. Lyons: I am sorry.

Q. "In the armed forces of the United States"?

A. No, we didn't read that.

Q. "On the grounds that I am an alien." Do you recognize "alien"? A. No.

Q. You didn't recognize anything; "and am entitled to such exemption under the terms of a treaty between Germany—" you put in Germany? ('estimony of Mrs. Katharina Keil.)

A. Well, aren't those forms below say country blow there?

Q. No, there's nothing there, all it was is a bunk.

A. I don't know; but I am sure----

Q. "Between the United States," why did you at Germany in there? A. I don't know.

Q. "I have read the—" here it goes—"I have and the provisions of Section 315." You didn't uderstand any of that? A. No, I didn't.

Q. Dated at Oakland—you put in Oakland?

A. Well, we could write the date and all that, ys; signature, we could read that.

Q. How about down here, Section 315?

A. I have no idea what that said.

Q. (Reading): "Not withstanding the provisons of Section 405, any alien who applies or who hs applied for exemption or [27] discharge from taining or service in the armed forces or in the ntional security corps of the United States on the yound that he is an alien and is or was relieved or (scharged from such training or service on such younds shall be permanently ineligible to become acitizen."

You didn't read that?

A. No, sir. Do you think we would have gone to shool for six months and filed an application and p everything if we had known that?

Q. Have you signed any other papers without eading them?

A. No, I don't any more. I learned my lesson.

(Testimony of Mrs. Katharina Keil.)

Q. Now, this is his brother's, the questionnaire?

A. Well, he prints small, just the same as I do. I think some of it, like this, I recognize isn't mine.

Q. Here it says his brother helped him make it out.A. Yes, we did; and we trusted him.

Mr. Lyons: I haven't anything more.

The Court: Let me ask you this: You say you didn't even remember that document?

The Witness: No, because there was several and we just——

The Court: So now when you answer Mr. Lyons and saying you could read some and couldn't read other portions, you are just assuming that's right, isn't that it? You have no recollection of what happened?

The Witness: No, we didn't know we filed anything like [28] that up until last July. We were shocked to see such a paper existing.

Redirect Examination

By Mr. Hertogs:

Q. Were both of those forms mailed to you at your residence?

A. Yes. There was more than two, I think; a whole bunch of papers.

Q. More than one page? A. Yes.

Q. Is that it? Did you mail them back?

A. Yes, we filled them out and thought we did the right thing and mailed them back. (estimony of Mrs. Katharina Keil.)

Q. You didn't take them back to the draft burd?

A. They were supposed to be mailed in in so may days.

Q. Neither you nor your husband went back to the draft board? A. No.

Q. That one occasion only?

A. Only when he reported for it, yes.

Q. That is the first time? A. Yes.

Q. Was that about January, 1954?

A. Yes. He was just out of work. That is right. Mr. Hertogs: I have no further questions, your Ipnor.

Mr. Lyons: Nothing further, your Honor. [29]

(Witness excused.)

Mr. Hertogs: I didn't call the petitioner, your Ionor. Exhibit 3, a statement in the file taken from te petitioner in which they asked some questions ad he couldn't even answer them.

Mr. Lyons: Or he didn't want to answer them. le did answer three-quarters of them.

The Court: Well, you want to----

Mr. Hertogs: There's no further evidence to resent, your Honor. I think it is solely a question c whether the Court feels that this man had a free, bluntary and intelligent choice at that time.

Mr. Lyons: Are you through?

Mr. Hertogs: Yes.

Mr. Lyons: Thank you.

May I call the Court's attention to Section 315, a 315(b):

"The records of the Selective Service System or of the National Military Establishment shall be conclusive as to whether an alien was relieved or discharged from such liability for training * * *"

None of the cases ever come out on that. I think that is a provision that has been entirely overlooked.

Also, the Court might be interested in knowing that the treaty with Germany was abrogated on June 2, 1954, and the German aliens were no longer eligible to file for claim of [30] exemption.

The Court: Yes. But this is based entirely on the filing of the claim.

Mr. Lyons: That's right. He was within the provisions.

The Court: He was within the provisions, so we are not concerned with that now.

Mr. Lyons: That might explain the----

The Court: In regard to the other point, as to the conclusive presumption on the basis that he has avoided his military—I mean, at least military training period is now over?

Mr. Lyons: He will never be drafted.

The Court: Well, I have a feeling I would like to go over these full statements, and so forth. Did you want to cite something?

Mr. Hertogs: No, just going to tell you there is a very fine annotation in the lawyer's edition, available to the Court, one lawyer's edition, starting at Page 1929. It relates to this whole problem. On Page 1932, Paragraph 5, voluntariness, application m exemption, knowledge of its effect, mistake, overed very thoroughly.

'he Court: Fine.

Ir. Hertogs: A very recent annotation.

he Court: That's available in the library.

ll right, let this matter stand submitted.

[r. Hertogs: Thank you, your Honor.

Endorsed]: Filed June 28, 1960. [31]

[Itle of District Court and Cause.]

CERTIFICATE OF CLERK TO RECORD ON APPEAL

. C. W. Calbreath Clerk of the United States D trict Court for the Northern District of Cali-Ionia, hereby certify the foregoing and accompanyin documents and exhibits, listed below, are the ful and complete originals and photostats of originas filed in this Court in the above-entitled case and costitute the record on appeal herein as designated bythe attorneys for the appellant:

[>]etition.

Findings of Fact, Conclusions of Law and Recormendation of the Designated Naturalization Eaminer.

Order-Submit for Decision.

Order Confirming Findings of Fact, Conclusions o Law and Recommendation of Designated Naturaization Examiner.

Notice of Appeal.

Designation of Record. Bond on Appeal.

Transcript of Proceedings before Judge Alber C. Wollenberg.

Respondents' Exhibits No. 1, No. 2 and No. (Transcript of Preliminary Hearing before th Designated Naturalization Examiner).

In Witness Whereof, I have hereunto set m hand and affixed the seal of said District Court thi 29th day of June, 1960.

[Seal] C. W. CALBREATH, Clerk;

By /s/ ETTA G. STEPHENSON, Deputy Clerk.

[Endorsed]: No. 17004. United States Court o Appeals for the Ninth Circuit. Alfons Simon Kei Appellant, vs. United States of America, Appellee Transcript of Record. Appeal from the Unite States District Court for the Northern District o California, Southern Division.

Filed June 30, 1960.

Docketed July 18, 1960.

/s/ FRANK H. SCHMIDT,

Clerk of the United States Court of Appeals for th Ninth Circuit.

United States of America

In the United States Court of Appeals for the Ninth Circuit

No. 17004

AFONS SIMON KEIL,

Appellant,

VS.

INITED STATES OF AMERICA,

Appellee.

STIPULATION

It is hereby stipulated, by and between counsel fr appellee and counsel for appellant, that all exlbits introduced at the time of trial of the abovectitled matter may be considered in their original frm without printing.

Dated: July 11, 1960.

/s/ JOSEPH S. HERTOGS, Attorney for Appellant.

/s/ LAURENCE E. DAYTON, United States Attorney;

/s/ CHARLES ELMER COLLETT, Asst. United States Attorney, Attorneys for Appellee.

[Endorsed]: Filed July 15, 1960.

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[Title of Court of Appeals and Cause.]

STATEMENT OF POINTS ON WHICH AP₁ PELLANT INTENDS TO RELY IN THE APPEAL OF THE ABOVE-ENTITLEI MATTER

Comes now Alfons Simon Keil, by and through his attorneys, Jackson & Hertogs, and files hereir the Statement of Points on which appellant intends to rely in the appeal of the above-entitled matter:

I.

The District Court erred in finding that appellant was an alien permanently ineligible to become a citizen of the United States.

II.

The District Court erred in denying appellant's petition for naturalization as a citizen of the United States.

Dated: July 20, 1960.

/s/ JOSEPH S. HERTOGS, Attorney for Appellant.

[Endorsed]: Filed July 23, 1960.

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[Itle of Court of Appeals and Cause.]

D SIGNATION OF RECORD TO BE INCOR-ORATED IN TRANSCRIPT ON APPEAL

Appellant, Alfons Simon Keil, by and through his atorneys, Jackson & Hertogs, hereby designates the crire record in the above-entitled matter, except exibits, to be included in the transcript on appeal.

Dated: July 20, 1960.

/s/ JOSEPH S. HERTOGS, Attorney for Appellant.

Endorsed]: Filed July 23, 1960.